

AUDIT AND GOVERNANCE COMMITTEE

Date: Thursday 26 March 2026
Time: 5.30 pm
Venue: Rennes Room, Civic Centre, Paris Street, Exeter

Members are invited to attend the above meeting to consider the items of business.

If you have an enquiry regarding any items on this agenda, please contact Josie McDonald, Democratic Services Officer on 01392 265 354.

Entry to the Civic Centre can be gained through the Customer Services Centre, Paris Street.

Membership –

Councillors Wardle (Chair), Moore (Deputy Chair), Atkinson, Banyard, Begley, Holland, Knott, Miller-Boam, Mitchell, M, Palmer and Williams, M

Agenda

1 **Apologies**

To receive apologies for absence from Committee Members.

2 **Minutes**

To approve and sign the minutes of the meeting held on 11 February 2026.

(Pages 5 -
8)

3 **Declaration of Interests**

Councillors are reminded of the need to declare any disclosable pecuniary interests that relate to business on the agenda and which have not already been included in the register of interests, before any discussion takes place on the item. Unless the interest is sensitive, you must also disclose the nature of the interest. In accordance with the Council's Code of Conduct, you must then leave the room and must not participate in any further discussion on the item. Councillors requiring clarification should seek the advice of the Monitoring Officer prior to the day of the meeting.

4 **Local Government Act 1972 - Exclusion of Press and Public**

It is considered that the Committee would be unlikely to exclude the press and public during consideration of any of the items on the agenda, but if it should wish to do so, the following resolution should be passed:-

RECOMMENDED that, under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the consideration of

the particular item(s) on the grounds that it (they) involve(s) the likely disclosure of exempt information as defined in the relevant paragraphs of Part 1, Schedule 12A of the Act

Or

RESOLVED that, under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting during consideration of items XX and XX on the grounds that they involve the likely disclosure of exempt information as defined in paragraphs 1, 2, 3 and 4 of Part I, Schedule 12A of the Act.

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| 5 | Quarterly Waivers Report Q3
To consider the report of the Strategic Director of Corporate Resources. | (Pages 9 -
20) |
| 6 | Internal Audit Progress Report
To consider the report of the SWAP Internal Audit Services. | (Pages 21
- 38) |
| 7 | Limited Assurance Report
To consider the report of the Strategic Director of Corporate Resources. | (Pages 39
- 46) |
| 8 | Internal Audit Plan for 2026/27
To consider the report of the SWAP Internal Audit Services. | (Pages 47
- 72) |
| 9 | Code of Corporate Governance
To consider the report of the Strategic Director of Corporate Resources. | (Pages 73
- 88) |
| 10 | Review of Corporate Governance Risk Register
To consider the report of the Strategic Director of Corporate Resources. | (Pages 89
- 106) |
| 11 | Appointment of the Council's Two Independent Persons
To receive the report of the Strategic Director of Corporate Resources. | (Pages
107 - 124) |
| 12 | JCNC Terms of Reference
To consider the report of the Local Government Reorganisation Programme Director. | (Pages
125 - 132) |
| 13 | Update on the use of investigatory powers and the Regulation of Investigatory Powers Act 2000 Joint Policy and Procedure
To consider the report of the Monitoring Officer. | (Pages
133 - 168) |

Date of Next Meeting

The next **Audit and Governance Committee** will be held on Thursday 23 July 2026 at 5.30 pm

Find out more about Exeter City Council by looking at our website <http://www.exeter.gov.uk>. This will give you the dates of all future Committee meetings and tell you how you can ask a question at a

Scrutiny Committee meeting. Alternatively, contact the Democratic Services Officer on 01392 265354 for further information.

Individual reports on this agenda can be produced in other formats on request to Democratic Services on 01392 2654354